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10-12-01
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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	34580.001CC
First Inventor	Paul Swift
Title	Bicycle Pedal That Can Fit A Multiplicity...
Express Mail Label No.	EL728641048US

APPLICATION ELEMENTS		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
See MPEP chapter 600 concerning utility patent application contents.		

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages **41**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets **15**]

5. Oath or Declaration [Total Pages **7**]

- a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
b. (for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-In-part (CIP)

of prior application No. **09 360,561**

Prior application Information:

Examiner **Vinh T. Luong**

Group Art Unit: **3682**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	10219017 (Insert customer number or attach bar code (if given))	or <input checked="" type="checkbox"/> Correspondence address below
---	--	---

Name	ROZSA & CHEN LLP 15910 Ventura Boulevard Suite 1601				
Address	Encino	State	CA	Zip Code	91436
City	USA	Telephone	(818)783-0990	Fax	(818) 783-0992

Name (Print/Type)	Thomas I. Rozsa	Registration No. (Attorney/Agent)	29,210
Signature	<i>Thomas I. Rozsa</i>		Date 10-10-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/10/01
11/09/2017
11/09/2017
10/10/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Paul Swift
Examiner Name	
Group Art Unit	
Attorney Docket No.	34580.001CC

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 18-2222
Deposit Account Name ROZSA & CHEN LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
4	-20** = 0	x	= 0
3	- 3** = 0	x	= 0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 42 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Thomas I. Rozsa	Registration No. (Attorney/Agent)	29,210	Telephone (818) 783-0990
Signature	Thomas I. Rozsa	Date	10-10-01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

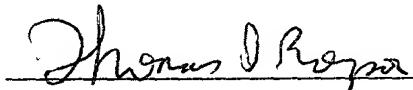
First Named Inventor		Paul Swift
Title	Bicycle Pedal That Can Fit A Multiplicity Of Shoe Cleats	
Atty Docket Number	34580.001CC	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10-10-01

Date



Signature

Thomas I. Rozsa

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul Swift

Application No.: 09 / 360,561 Group No.: 3682

Filed: 05/26/1999 Examiner: Vinh T. Luong

For: BICYCLE PEDAL THAT CAN FIT A MULTIPLE OF SHOE CLEATS

Assistant Commissioner for Patents

Washington, D.C. 20231

**NOTIFICATION OF FILING OF CONTINUING,
DIVISIONAL OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

- continuation
 continuation-in-part
 divisional
 continued prosecution

application for this case

- concurrently herewith.
 on _____

Date

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

with sufficient postage as first class mail.

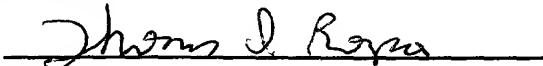
37 C.F.R. § 1.10*

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Thomas I. Rozsa

(type or print name of person certifying)

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(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 1 of 2))

Reg. No. 29,210

Tel. No.: (818) 783-0990

Customer No.: 021907

Thomas I. Rozsa 10/10/01

SIGNATURE OF PRACTITIONER

Thomas I. Rozsa

(type or print name of practitioner)

ROZSA & CHEN LLP

15910 Ventura Boulevard, Suite 1601

P.O. Address

Encino, California 91436-2815

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Docket No: 34580.001CC

3 IN THE APPLICATION OF THE CONTINUATION-IN-PART OF CO-PENDING
4 APPLICATION SERIAL NO. 09/360,561 FILED ON 05/26/1999:

5 Inventor: Paul Swift

6 For: BICYCLE PEDAL THAT CAN FIT A MULTIPLICITY OF
7 SHOE CLEATS

8 Attorney: Thomas I. Rozsa

9 Registration No: 29,210

10 Telephone: (818) 783-0990

11
12
13 CHART TO COMPARE FIGURES FROM PARENT APPLICATION 09/360,561
14 FILED ON 5/26/1999 TO FIGURES FOR NEW CIP PATENT APPLICATION

15
16
17 Assistant Commissioner for Patents

18 Washington, D.C. 20231

19 Sir:

20 In order to facilitate an examination of the new CIP Application being filed
21 concurrently herewith, Applicant is providing to the Assistant Commissioner for Patents
22 a table setting forth a comparison of the figures that were set forth in the original patent
23 application 09/360,561 filed on 05/26/1999 and how those figures now relate to the new
24 CIP Patent Application being filed concurrently herewith.

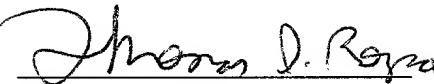
	<u>Figures From Patent Application</u> <u>Serial No. 09/360,561 Filed 05/26/99</u>	<u>Figures In New CIP Patent Application</u> <u>Filed Concurrently Herewith</u>
1		
2		
3	Figure 1	No change
4	Figure 2	No change
5	Figure 3	No change
6	Figure 4a	No change
7	Figure 4b	No change
8	Figures 5a	Deleted
9	Figure 5b	Deleted
10	Figure 5c	Deleted
11	Figure 6a	Now FIG. 5a
12	Figure 6b	Now FIG. 5b
13	Figure 7a	Now FIG. 6a
14	Figure 7b	Now FIG. 6b
15	Figure 8a	Now FIG. 7a
16	Figure 8b	Now FIG. 7b
17	Figure 8c	Now FIG. 7c
18	Figure 9a	Now FIG. 8a
19	Figure 9b	Now FIG. 8b
20	Figure 10a	Now FIG. 9a
21	Figure 10b	Now FIG. 9b
22	Figure 10c	Now FIG. 9c
23	Figure 11a	Now FIG. 10a
24	Figure 11b	Now FIG. 10b
25	Figure 12	Now FIG. 11

ROZSA & CHEN LLP
ATTORNEYS AT LAW
19910 VENTURA BOULEVARD, SUITE 1600
ENCINO, CALIFORNIA 91436-2815
TELEPHONE (818) 783-0990

1 New FIG. 12
2 New FIG. 13
3 New FIG. 14
4 New FIG. 15
5 New FIG. 16
6 New FIG. 17
7 New FIG. 18
8 New FIG. 19
9 New FIG. 20
10 New FIG. 21
11 New FIG. 22
12 New FIG. 23
13 New FIG. 24
14 New FIG. 25
15 New FIG. 26
16 New FIG. 27
17 New FIG. 28

21
22 Respectfully submitted,

23 Dated: 10/10/01

24 
Thomas I. Rozsa
25 Registration No. 29, 210

26
27
28 634;cht

Attorney's Docket No. 34580.001CC

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Serial No.: 0 /to be assigned) Group No.:

Filed: Examiner:

For:

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 728641048 US

Date of Deposit October 10, 2001

I hereby certify that the following attached paper or fee

**COMBINED DECLARATION AND POWER OF ATTORNEY
for the Invention**

Entitled: BICYCLE PEDAL THAT CAN FIT A MULTIPLICITY OF SHOE CLEATS

by Inventor(s): Paul Swift

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Thomas I. Rozsa, Esq.

Typed or printed name of person mailing paper or fee

Thomas I. Rozsa 10/10/01

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Attorney's Docket No. 34580.001CC

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Serial No.: 0 / (to be assigned) Group No.:
Filed: Examiner:
For:

Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL 728641048 US
Date of Deposit October 10, 2001

I hereby certify that the following attached paper or fee

PATENT SPECIFICATION, CLAIMS AND ABSTRACT

Entitled: **BICYCLE PEDAL THAT CAN FIT A MULTIPLICITY OF SHOE CLEATS**

By Inventor(s): **Paul Swift**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Thomas I. Rozsa

Typed or printed name of person mailing paper or fee

Thomas I. Rozsa 10/10/01

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NOTE: *The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.*